

**All members entitled to a lump-sum benefit:**

If you break your service with the IWA-Forest Industry Pension Plan and elect to transfer your locked-in lump-sum benefit out of the plan, use this form to confirm the locked-in status of your funds. This form must be completed by your financial institution or agent. See reverse for instructions.

**SECTION A MEMBER INFORMATION**

|  |                                   |                |   |   |   |   |   |  |  |  |  |   |   |   |   |   |   |   |   |
|--|-----------------------------------|----------------|---|---|---|---|---|--|--|--|--|---|---|---|---|---|---|---|---|
| Last name:   | First name and middle initial(s): | Date of birth: | <table border="1"> <tr> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td> </tr> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table> |   |   |   |   |  |  |  |  | D | D | M | M | Y | Y | Y | Y |
|  |                                   |                |   |   |   |   |   |  |  |  |  |   |   |   |   |   |   |   |   |
| D  | D                                 | M              | M   | Y | Y | Y | Y |  |  |  |  |   |   |   |   |   |   |   |   |
| <b>INDIVIDUAL LOCKED-IN ACCOUNT NUMBER WITH FINANCIAL INSTITUTION:</b> |                                   |                |   |   |   |   |   |  |  |  |  |   |   |   |   |   |   |   |   |

**SECTION B FINANCIAL INSTITUTION (TRANSFeree) INFORMATION**

Locked in funds can only be transferred to financial institutions on the approved *Superintendent's List of Financial Institutions Offering Locked-in Pension Products* for the province where the member last worked for a participating employer. See reverse for details.

|  |             |
|--|-------------|
| Name of receiving registered financial institution:                        |             |
| If applicable, registration name of agent for above financial institution: |             |
| Address of receiving institution:  |             |
| Name of direct contact:  |             |
| Phone number of direct contact:  | Fax number: |

**SECTION C TRANSFEROR INFORMATION**

|                                      |                                  |
|--------------------------------------|----------------------------------|
| The IWA-Forest Industry Pension Plan | CRA registration number: 0373332 |
|--------------------------------------|----------------------------------|

**SECTION D AGREEMENT**

In consideration of a request from the member to transfer their pension funds to a locked-in retirement account, the transferee agrees and guarantees the following:

1. All monies resulting from this transfer and any subsequent transfers from the plan be locked-in for the provision of a life annuity as prescribed by the relevant provincial pension standards act.
2. That the transferee will ensure that any subsequent transfers to a locked-in retirement account is made subject to the same locked-in provision as the original transfer agreement in accordance with pension law.
3. The transferee is a financial institution, or the agent of a financial institution approved by the BC Superintendent of Pensions, or the equivalent provincial authority if located outside BC.

**The transferee acknowledges that the transferor (the IWA-Forest Industry Pension Plan) is relying on the above representations and warranties to transfer the plan member's funds into the locked-in account indicated above.**

|  |   |   |   |   |   |   |   |  |   |   |   |   |   |   |   |   |  |
|--|---|---|---|---|---|---|---|--|---|---|---|---|---|---|---|---|--|
| Signature of signing authority at financial institution  | Name of signing authority at financial institution (please print) |   |   |   |   |   |   |  |   |   |   |   |   |   |   |   |  |
| Date signed: <table border="1"> <tr> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td> </tr> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table> |   |   |   |   |   |   |   |  | D | D | M | M | Y | Y | Y | Y |  |
|  |   |   |   |   |   |   |   |  |   |   |   |   |   |   |   |   |  |
| D  | D   | M | M | Y | Y | Y | Y |  |   |   |   |   |   |   |   |   |  |

# INSTRUCTIONS

**This form must be completed by the financial institution or agent only.**

1. Please note that locked-in funds can only be transferred to financial institutions on the approved *Superintendent's List of Financial Institutions Offering Locked-In Pension Products* for the province where the member last worked. It is the responsibility of the financial institution to obtain the appropriate approved Superintendent's list, available from the organizations listed below:

|                      |  |  |
|----------------------|--|--|
| In British Columbia: | BC Financial Services Authority .....          | <a href="http://www.bcfsa.ca">www.bcfsa.ca</a>   |
| In Alberta:          | Alberta Labour & Employment Pensions .....     | <a href="http://www.finance.alberta.ca/business/pensions">www.finance.alberta.ca/business/pensions</a> |
| In Saskatchewan:     | Pension Benefits Branch .....                  | Ph. 306.787.7650   |
| In Manitoba:         | Department of Labour, Pension Commission ..... | Ph. 204.945.2745   |

2. The form must be signed and dated by an authorized representative from the financial institution. The signing authority must also PRINT their name at the bottom right had corner.
3. Return to the IWA–Forest Industry Pension Plan Office with the other required documents:
  - *Election form*
  - *T2151 - RRSP transfer request form*
  - A photocopy of the plan member's *birth certificate* or other *proof of age documentation*
  - If necessary, *name change documentation* (eg. *marriage certificate*)
4. All documents must be received by the Plan Office before the deadline specified on the member's *statement*.
5. Please note that failure to properly identify an agent's relationship with an authorized financial institution may result in delay or denial of the member's ability to transfer locked-in funds. Listing an unauthorized financial institution will result in denial of the member's request to transfer locked-in funds.

**PRIVACY DISCLOSURE:** The Trustees of the IWA–Forest Industry Pension and LTD Plans (Plans) and their respective agents and employees, collect, use, disclose and exchange your personal information in order to administer the Plans including to process benefits, enforce the Plans' terms (including to collect overpayments or to investigate potential fraud), to audit employers' records and claims and to communicate with third parties such as employers, insurers, health care providers and financial institutions when reasonably necessary to administer the Plans. By signing this form you consent to such collection, use, disclosure and exchanges for these purposes, any other purposes set out in the Plans' respective Privacy Policies and as permitted or required by law.